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#### Abstract

We consider the problem of embedding the vertices of a plane graph into a small (polynomial size) grid in the plane in such a way that the edges are straight, non-intersecting line segments and faces are convex polygons. We present a linear-time algorithm which, given an n-vertex 3-connected plane graph G (with  $n \geq 3$ ), finds such a straight-line convex embedding of G into a  $(n-2) \times (n-2)$  grid.

#### 1 Introduction

In this paper we consider the problem of æsthetic drawing of plane graphs, that is, planar graphs that are already embedded in the plane. What is exactly an æsthetic drawing is not precisely defined and, depending on the application, different criteria have been used. In this paper we concentrate on the two following criteria: (a) edges should be represented by straight-line segments, and (b) faces should be drawn as convex polygons.

Fáry [6], Stein [14] and Wagner [18] showed, independently, that each planar graph can be drawn in the plane in such a way that the edges are straight-line segments. Recently, there has been a lot of interest in algorithms that construct such embeddings, which are often referred to as simply straight-line embeddings. Straightforward algorithms that follow the proofs in [6, 14, 18] can be efficiently implemented, but they require floating-point arithmetic, which leads to a number of problems. First, small numerical errors can lead to an incorrect embedding, e.g., line intersections may not be detected. Second, when the embedding has to be drawn on a raster device, real vertex coordinates have to be mapped to integer grid points, and there is no guarantee that a correct embedding will be obtained after rounding.

It would be more convenient and practical to map the vertices into a small integer grid using only integer arithmetic, thereby avoiding roundoff errors and facilitating display on a screen. Also,

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this approach guarantees, automatically, that the resulting picture has fairly good proportions. We will refer to such embeddings as grid embeddings or grid drawings.

Rosentiehl and Tarjan [10] posed the question of whether it is always possible to find such an embedding into a polynomial-size grid, and in [5] de Fraysseix, Pach and Pollack indeed gave a method that embeds an n-vertex planar graph into the  $(2n-4)\times(n-2)$  grid in  $O(n\log n)$  time. Chrobak and Payne [4] provided a linear-time implementation of their method. Schnyder [12] discovered a different method, based on so-called barycentric representations of graphs and some interesting combinatorial interpretation of vertex coordinates. His algorithm can be implemented in linear time and reduces the grid size to  $(n-2)\times(n-2)$ . Schnyder also pointed out [11] that the method from [4] can be modified to yield a smaller embedding into the  $(n-2)\times(n-2)$  grid. (Throughout the paper we assume that  $n\geq 3$ .)

As for the lower bound, de Fraysseix, Pach and Pollack [5] present an example of a plane graph that requires a grid of size at least  $2n/3 \times 2n/3$ . A major open problem in this area is whether a  $cn \times cn$  grid can be used for this purpose, for some c < 1.

The algorithms discussed above assume that the input graph is triangulated. If we want to use them to draw an arbitrary plane graph G, we have to extend it to a triangulated graph G', embed G', and then remove the added edges. The resulting faces can have very complex, irregular shapes. A more æsthetic embedding can be obtained by drawing faces as convex polygons. This can always be done if G is 3-connected, as proved by Tutte in [16]. In fact, it can be done even for some plane graphs which are not 3-connected, see [15, 16, 17]. Chiba et al. [3] developed a linear-time algorithm that draws convexly all planar graphs for which it is possible. For arbitrary 2-connected graphs, Chiba et al. [2], presented linear-time algorithms for producing æsthetic drawings that make the resulting picture "as convex as possible", in a sense that is precisely defined in [2]. On the other hand, it is NP-complete to decide whether a biconnected planar graph can be drawn with at least K convex faces [9].

Recently, Kant [8] developed a method for constructing convex grid drawings of 3-connected plane graphs in linear time. His algorithm, related to those of [5] and [4], uses a  $(2n-4) \times (n-2)$  grid.

In this paper we will show how to construct convex drawings of 3-connected plane graphs into a smaller,  $(n-2) \times (n-2)$ , grid in linear time. Our algorithm has been inspired by the ideas from [4, 5, 8, 11]. It is very easy to implement and, in fact, in the paper we present a Pascal-like description of the algorithm.

A different convex embedding method for 3-connected planar graphs, using the  $(n-1)\times(n-1)$  grid, was announced recently by Schnyder and Trotter [13].

### 2 Algorithm for Convex Drawings

We introduce first the concept of a canonical decomposition, which generalizes canonical orderings defined in [5] for triangulated graphs.

Canonical Decompositions. Let G be a fixed, but arbitrary, n-vertex 3-connected plane graph with an edge  $(v_1, v_2)$  on the external face. Let  $\pi = (V_1, \ldots, V_m)$  be an ordered partition of V, that is,  $V_1 \cup \ldots V_m = V$  and  $V_i \cap V_j = \emptyset$  for  $i \neq j$ . Define  $G_k$  to be the subgraph of G induced by  $V_k \cup \ldots \cup V_m$ , and denote by  $C_k$  the external face of  $G_k$ . We say that  $\pi$  is a canonical decomposition of G with bottom edge  $(v_1, v_2)$  if:

- (CD1)  $V_1$  is a singleton,  $\{z_0\}$ , where  $z_0$  lies on the outer face and  $z_0 \notin \{v_1, v_2\}$ .
- (CD2)  $C_m$  is a face of G, and each  $C_k$  is a cycle containing  $(v_1, v_2)$ .
- (CD3) Each  $G_k$  is 2-connected and internally 3-connected, that is, removing two internal vertices of  $G_k$  does not disconnect it.
- (CD4) For each k in  $\{2, \ldots, m-1\}$ , one of the two following conditions holds:
  - (a)  $V_k$  is a singleton,  $\{z\}$ , where z belongs to  $C_k$  and has at least one neighbor in  $G G_k$ .
  - (b)  $V_k$  is a chain,  $(z_1, \ldots, z_\ell)$ , where each  $z_i$  has at least one neighbor in  $G G_k$ , and where  $z_1$  and  $z_\ell$  each have one neighbor on  $C_{k+1}$ , and these are the only two neighbors of  $V_k$  in  $G_{k+1}$ .

Throughout the rest of the paper we will simply call  $\pi$  a canonical decomposition, since the bottom edge  $(v_1, v_2)$  will always be understood from context.

In Figure 1 an example of a canonical decomposition of a triconnected planar graph is given.

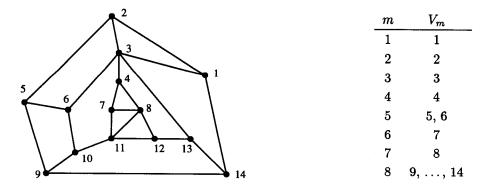


Figure 1: The canonical decomposition with bottom edge (9,14).

We will commonly view  $C_k$  as a path  $(w_1, w_2, \ldots, w_j)$  (instead of a cycle) starting with  $w_1 = v_1$  and ending with  $w_j = v_2$ , ignoring the edge  $(v_1, v_2)$ .

We will use the following lemma proved by Kant [8]:

Lemma 1 Each 3-connected plane graph has a canonical decomposition.

*Proof:* (Sketch) Pick an edge  $(v_1, v_2)$  and a vertex  $z_0 \notin \{v_1, v_2\}$  on the outer face of G. Let  $V_1 = \{z_0\}$ .

Suppose that we have already defined  $V_1, \ldots, V_{k-1}$ . If  $G_k$  is 3-connected, let  $V_k$  be  $\{z\}$ , where z is an arbitrary vertex from  $C_k - \{v_1, v_2\}$  that has a neighbor in  $G - G_k$ .

Otherwise, if  $C_k$  contains a chain whose removal does not destroy 2-connectivity, let  $V_k$  be a maximal such chain — its members will have degree 2 in  $G_k$  (and will have a neighbor in  $G - G_k$  by the 3-connectivity of G), and its two neighbors will have greater degree.

If, however, no such chain exists, pick two vertices in  $C_k$  whose removal disconnects  $G_k$  that are as close to each other as possible in the ordering of  $C_k$ . The triconnected component in between, by the 3-connectivity of G, contains a vertex z having a neighbor in  $G - G_k$ . Let  $V_k$  be  $\{z\}$ .  $\square$ 

As it was shown by Kant [8], Theorem 2.3, a canonical decomposition can be constructed in linear time.

Members of  $V_k$  are said to have rank k. A vertex in  $C_k$  is said to be saturated in  $G_k$  iff it has no neighbors outside  $G_k$ , i.e., no neighbors of smaller rank.

Given  $C_k = (v_1 = w_1, \dots, w_j = v_2)$ , let  $1 \le a < b \le j$  be such that  $w_a$  and  $w_b$  are not saturated in  $G_k$  but all vertices  $w_i$ , for a < i < b, are already saturated. Pick  $a \le c < b$  such that  $w_c$  has largest rank, and if there are two vertices with highest rank pick the left one. (From the definition of the canonical decomposition it can be shown that there are at most two such vertices.) Then we define  $\mu_k^+(a) = \mu_k^-(b) = c$ . We will often omit subscript k, for simplicity. Note that if b = a + 1 then  $\mu_k^+(a) = \mu_k^-(b) = a$ .

If a, b are as defined above, then the path  $w_a, \ldots, w_b$  in G is a part of a facial cycle F, that also contains two edges that connect  $w_a$  and  $w_b$  with  $G - G_k$ , plus possibly some other edges in  $G - G_k$ . Intuitively, the algorithm will work in such a way that one of  $w_\mu, w_{\mu+1}$ , with  $\mu = \mu^+(a)$ , will be a lowest vertex on F (that is, it will have the smallest y-coordinate), and thus stretching the edge  $(w_\mu, w_{\mu+1})$ , together with some other edge on the upper side of F, will not destroy convexity of F.

Our algorithm will be to add sets  $V_k$ , one by one, in reverse order,  $V_m, \ldots, V_1$ , adjusting the embedding at every step. By  $\mathcal{E}(v)$  we will denote the current position of vertex v on the grid, i.e.,  $\mathcal{E}(v) = (x(v), y(v))$ . By  $\mathcal{E}(u, v)$  we denote the embedding of edge (u, v), that is, the line segment that connects  $\mathcal{E}(u)$  with  $\mathcal{E}(v)$ . To each vertex w we assign a set of vertices, Under(w), that will contain certain vertices that are located below w and have to be shifted right whenever w is shifted right. The precise definition of Under(w) is part of the algorithm and is given below.

We will describe first an algorithm that uses the  $(n-1) \times (n-1)$  grid, and then show how to improve it to  $(n-2) \times (n-2)$ .

Algorithm ConvexDraw. We initialize the embedding by drawing  $C_m = (v_1 = z_1, z_2, \dots, z_\ell = v_2)$  as follows:

$$\mathcal{E}(z_1) := (0,0);$$
 $\mathcal{E}(z_\ell) := (\ell - 1, 0);$ 
 $\mathcal{E}(z_i) := (i - 1, 1) \text{ for all } i = 2, \dots, \ell - 1;$ 
 $Under(z_i) := \{z_i\} \text{ for all } i = 1, \dots, \ell.$ 

Then, for each  $k=m-1,\ldots,1$ , we do the following. Let  $C_{k+1}=(v_1=w_1,\ldots,w_j=v_2)$  be the contour of  $G_{k+1}$ . Let  $V_k=(z_1,\ldots,z_\ell)$ .  $V_k$  may be a singleton or a chain, but in the algorithm we will treat both cases uniformly.

Let  $w_p$  and  $w_q$  be the leftmost and rightmost neighbors of  $V_k$  in  $G_{k+1}$ . Let  $\alpha = \mu^+(p)$  and  $\beta = \mu^-(q)$ . Note that if  $V_k$  is a chain then all vertices that are being covered belong to one face and all vertices  $w_{p+1}, \ldots, w_{q-1}$  must have been saturated by now. Consequently, we will have  $\alpha = \beta$ . If  $V_k$  is a singleton (of degree at least 3),  $V_k = \{z_1\}$ , then all vertices among  $w_{p+1}, \ldots, w_{q-1}$  which are not neighbors of  $z_1$  must have been saturated. In this case, we have  $\alpha < \beta$ . In fact,  $w_{\alpha}$  and  $w_{\beta}$  will belong to different faces: to the first and last face that are created when adding  $z_1$ , respectively.

We now execute the following steps:

Update: We update  $Under(w_p)$ ,  $Under(w_q)$  and compute all  $Under(z_i)$  as follows:

$$egin{array}{lll} \mathit{Under}(w_p) &:=& igcup_{i=p}^{lpha} \mathit{Under}(w_i); \ & \mathit{Under}(w_q) &:=& igcup_{i=eta+1}^{q} \mathit{Under}(w_i); \ & \mathit{Under}(z_1) &:=& \{z_1\} \cup igcup_{i=lpha+1}^{\beta} \mathit{Under}(w_i); \ & \mathit{Under}(z_i) &:=& \{z_i\} \, ; & i=2,\ldots,\ell. \end{array}$$

Shift: For each  $u \in \bigcup_{i=q}^{j} Under(w_i)$  do

$$x(u) := x(u) + \ell$$

Install  $V_k$ : Let  $\epsilon$  be 0 if  $w_p$  is saturated in  $G_k$ , and 1 otherwise. For each  $i = 1, \ldots, \ell$ , let  $\mathcal{E}(z_i)$  be defined by

$$x(z_i) := x(w_p) + i - 1 + \epsilon$$
  
 $y(z_i) := y(w_q) + x(w_q) - x(w_p) - \ell + 1 - \epsilon$ 

In other words, we draw the  $V_k$  horizontally, in such a way that the slope of the segment  $\mathcal{E}(z_\ell, w_q)$  is  $-45^\circ$ . Vertex  $z_1$  is placed above  $w_p$  if  $w_p$  is saturated, and at the next x-coordinate otherwise. Note that in the last formula we use the new updated value of  $x(w_q)$ .

This completes the description of the algorithm. Now we will prove its correctness (see Figure 2 for an illustration).

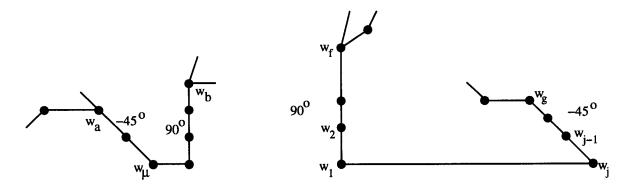


Figure 2: Illustration of Lemma 2.

**Lemma 2** Let  $1 \le k \le m$ , and  $C_k = (v_1 = w_1, w_2, ..., w_j = v_2)$ . Then  $\mathcal{E}(v_1) = (0,0)$ ,  $\mathcal{E}(v_2) = (k-1,0)$ , and all contour segments  $\mathcal{E}(w_i, w_{i+1})$ , i = 1, ..., j-1, have slopes in  $\{-45^{\circ}, 0^{\circ}\} \cup [45^{\circ}, 90^{\circ}]$ .

More specifically the following conditions hold:

- (a) if  $w_a$ ,  $w_b$  are two non-saturated vertices such that all  $w_i$ , for a < i < b, are saturated, and if  $\mu = \mu^+(a)$ , then the line segments on the path from  $w_a$  to  $w_b$  (clockwise) satisfy the following condition: The first  $\mu a$  segments have slope  $-45^\circ$  and the last  $b \mu 1$  segments have slopes  $90^\circ$ . The segment  $\mathcal{E}(w_\mu, w_{\mu+1})$  has slope in  $\{-45^\circ, 0^\circ\} \cup [45^\circ, 90^\circ]$ , except that it cannot be  $90^\circ$  for  $\mu = a$ .
  - (b) If  $w_f$  is the first non-saturated vertex on  $C_k$  then all slopes on the path  $w_1, \ldots, w_f$  are  $90^{\circ}$ .
  - (c) If  $w_g$  is the last non-saturated vertex on  $C_k$  then all slopes on the path  $w_g, \ldots, w_j$  are  $-45^{\circ}$ .

Note that the lemma implies that, in particular, if b = a + 1 (and thus  $\mu = a$ ), then the slope of  $\mathcal{E}(w_a, w_b)$  belongs to  $\{-45^\circ, 0^\circ\} \cup [45^\circ, 90^\circ)$ .

Proof: (a) Backward induction on k. For k=m, the lemma is obvious. Fix  $k \in \{1, \ldots, m-1\}$ , and assume that the lemma holds for k'=k+1. We will show that it also holds for k. Let  $w_p$  and  $w_q$  denote, as usual, the leftmost and rightmost neighbors of  $V_k$  in  $C_{k+1}$ . Clearly, both  $w_p$  and  $w_q$  are not saturated in  $G_{k+1}$ .

Let  $V_k = (z_1, \ldots, z_\ell)$ . Then the new contour is

$$C_{k+1} = (w_1, \ldots, w_p, z_1, \ldots, z_\ell, w_q, \ldots, w_j) = (w'_1, w'_2, \ldots, w'_{j+\ell}),$$

for  $\xi = \ell - q + p + 1$ . By the definition of the canonical decomposition, each  $z_i$  is not saturated in  $G_k$ , and therefore the lemma holds for all segments  $\mathcal{E}(z_i, z_{i+1})$ . Thus it is sufficient to prove that the lemma holds for vertices in the chains  $w_1, \ldots, z_1$  and  $z_\ell, \ldots, w_j$  of  $C_{k+1}$ .

We consider first the chain  $w_1, \ldots, z_1 = w'_1, \ldots, w'_{p+1}$ . If  $w_p$  is not saturated in  $G_k$ , then the lemma holds for the sub-chain  $w_1, \ldots, w_p$  by induction and for  $w_p, z_\ell$  by the algorithm.

Thus we can assume now that  $w_p$  becomes saturated in  $G_k$ . If all vertices  $w_1, \ldots, w_p$  are saturated, then the chain  $w_1, \ldots, w_p, z_1$  satisfies (b) with f = p + 1, by induction and by the fact that the slope of  $\mathcal{E}(w_p, z_1)$  is 90°. Otherwise, pick a non-saturated vertex  $w_a$ ,  $1 \leq a < p$ , that is closest to  $w_p$ . The lemma holds, by induction, for the chain  $w_1, \ldots, w_a$ . For  $w_a, \ldots, w_p, z_1$ , the lemma follows from the inductive assumption about  $w_a, \ldots, w_p$ , since the slope of  $\mathcal{E}(w_p, z_1)$  is 90°, and  $\mu_k^+(a) = \mu_{k+1}^+(a)$ .

The proof for the other chain,  $z_{\ell}, w_q, \ldots, w_j = w'_{p+\ell} \ldots w'_{j+\xi}$ , is similar. Let  $r = p + \ell$ . If  $w'_{r+1} = w_q$  is not saturated in  $G_k$ , the lemma follows directly by induction.

Thus suppose that  $w_q$  becomes saturated in  $G_k$ . If all vertices  $w_q, \ldots, w_j$  are saturated, then the chain  $w'_r, \ldots, w'_{j+\xi} = z_\ell, w_q, \ldots, w_j$  satisfies (c) with g = r, by induction and by the fact that the slope of  $\mathcal{E}(z_\ell, w_q)$  is  $-45^\circ$ . Otherwise, pick a non-saturated vertex  $w_b, q < b \le j$  that is closest to  $w_q$ . The lemma holds, by induction, for the chain  $w_b, \ldots, w_j$ . For  $z_\ell, w_q, \ldots, w_b$ , the lemma follows from the inductive assumption about  $w_q, \ldots, w_b$ , since the slope of  $\mathcal{E}(z_\ell, w_q)$  is  $-45^\circ$ , and  $\mu_k^+(r) = \mu_{k+1}^+(p)$ .  $\square$ 

The lemma above implies immediately that adding  $V_k$  does not destroy the embedding, as stated in the corollary below.

Corollary 1 For each k, when we add  $V_k$ , then, after applying the shift operation, all neighbors of  $V_k$  are visible, that is the edges between  $V_k$  and  $C_{k+1}$  do not intersect themselves or edges in  $C_{k+1}$ .

Whenever we add a vertex z (singleton or a member of a chain), we place it at the y-coordinate which is not smaller than the y-coordinate of its neighbors that had already been embedded. Also, y-coordinates never change. Thus the next lemma follows directly from the algorithm.

**Lemma 3** At each step of the algorithm, the y-coordinates are monotone with respect to ranks, in the following sense: if (u, v) is an edge and rank(u) > rank(v) then  $y(u) \ge y(v)$ .

What remains to show is that we do not destroy the planarity property and convexity when we apply the shift operation. This is proven in the next two lemmas.

Let us call a plane graph internally convex if all its internal faces are convex.

**Lemma 4** Each  $G_k$  is straight-line embedded and internally convex. Additionally, it has the following property: Suppose  $C_k = (v_1 = w_1, w_2, \dots, w_j = v_2)$ , and pick any  $1 \leq s \leq j$ , and any

integer  $\delta$ . If we shift all nodes in  $\bigcup_{i=s}^{j} Under(w_i)$  by  $\delta$  to the right, then  $G_k$  remains straight-line embedded and internally convex.

*Proof:* Backward induction on k. The lemma holds for k=m, by inspection. Assume the lemma holds for k'=k+1; we will show that the above properties are preserved when we add  $V_k$ . We use the notation from the algorithm that the contour of  $G_{k+1}$  is  $C_{k+1}=(w_1,\ldots,w_j)$ , and now we are about to add  $V_k$ . Let  $w_p$  and  $w_q$  be the leftmost and rightmost neighbors of  $V_k$  in  $C_{k+1}$ .

Let  $V_k = (z_1, \ldots, z_\ell)$ . The contour of  $G_k$  is  $C_k = (w_1', w_2', \ldots, w_{j+\xi}')$  for  $\xi = \ell - q + p + 1$ , where

$$w_i' = \begin{cases} w_i & i = 1, \dots, p \\ z_k & k = p+1, \dots, p+\ell \\ w_{i-\xi} & i = p+\ell+1, \dots, j+\xi. \end{cases}$$

If  $s > p + \ell$ ,  $V_k$  doesn't move, and the lemma follows directly by induction. If  $s \le p$ , the lemma also follows from the inductive assumption, since  $V_k$  shifts rigidly with the rest of the graph.

Let us assume now that  $V_k$  is a singleton,  $V_k = \{z_1\}$ , and consider the cases s = p + 1, p + 2. Let  $z_1$  have t neighbors among  $w_p, \ldots, w_q$ , and let  $F_1, \ldots, F_{t-1}$  be the faces created when adding  $z_1$ .

If s = p + 1, then we apply the inductive assumption to  $G_{k+1}$ , with  $s' = \mu_{k+1}^+(p) + 1$ . The straight-line embedding and internal convexity are preserved on  $G_{k+1}$  by induction. All faces  $F_2, \ldots, F_{t-1}$  are shifted rigidly with  $G_{k+1}$ , only  $F_1$  will be deformed. But in  $F_1$  we will only stretch the edge  $(w_p, z_1)$  and  $(w_{s'}, w_{s'+1})$ , and by the choice of s' this will not destroy the convexity of  $F_1$ .

If s = p + 2, the proof is similar: we apply the inductive assumption to  $G_{k+1}$  with  $s'' = \mu_{k+1}^-(q) + 1$ . In this case only  $F_{t-1}$  can be deformed but, by the choice of s'', the convexity of  $F_{t-1}$  will be preserved.

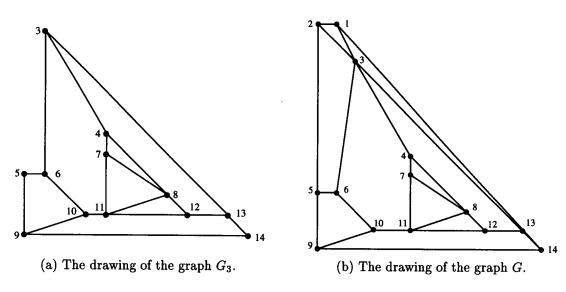
The proof when  $V_k$  is a chain is very similar and is left to the reader.  $\square$ 

Improving the grid size. Now we sketch how to modify ConvexDraw in order to reduce the grid size to  $(n-2) \times (n-2)$ . First we pick  $z_0$  to be the neighbor of  $v_2$  different from  $v_1$  on the outer face of G. We construct a canonical decomposition and run ConvexDraw for m-1 steps. In the last step, having already embedded  $G_2$ , we set  $\mathcal{E}(z_0) := (1, n-2)$ , and we do not shift any vertices to the right.

Let us call this modified algorithm ConvexDraw2. In order to show correctness, we only need to show that adding  $z_0$  will result in a correct, convex embedding. By Lemma 2 and the algorithm, before adding  $z_0$  we have  $x(w_1) = x(w_2) = \ldots = x(w_p) = 0$  and  $x(w_q) = n - 2$ , where  $w_q = v_2$ . The edge with slope  $-45^{\circ}$  from  $v_2$  contains the point (1, n - 3). This implies that all vertices  $w_p, \ldots, w_q$  are visible from (1, n - 2). The convexity of the outer face follows from the choice of  $z_0$ . Consequently, we obtain the following theorem:

**Theorem 1** Given a 3-connected plane graph G, algorithm ConvexDraw2 (described above) constructs a straight-line convex embedding of G into the  $(n-2) \times (n-2)$  grid.

In Figure 3 an illustration of a drawing is given. After adding vertex 3, we have  $Under(w) = \{w\}$  for  $w \in \{5, 9, 13, 14\}$ ,  $Under(6) = \{6, 10\}$  and  $Under(3) = \{3, 4, 7, 8, 11, 12\}$ . Thus, when adding vertex 2, the vertices in  $Under(3) \cup Under(13) \cup Under(14) = \{3, 4, 7, 8, 11, 12, 13, 14\}$  will be shifted right. After adding vertex 2, we have  $Under(w) = \{w\}$  for  $w \in \{2, 5, 9, 13, 14\}$ ,  $Under(3) = \{3, 4, 6, 7, 8, 10, 11, 12\}$ .



	adding			x-coordinates of vertices													
step	vertices	$w_p$	$w_q$	1	2	3	4	5	6	7	8	9	10	11	12	13	14
8	9,, 14	_	_									0	1	2	3	4	5
7	8	11	12								3	0	1	2	4	5	6
6	7	11	8							2	4	0	1	2	5	6	7
5	5, 6	9	10					0	1	4	6	0	3	4	7	8	9
4	4	7	8				4	0	1	4	7	0	3	4	8	9	10
3	3	6	13			1	4	0	1	4	7	0	3	4	8	10	11
2	2	5	3		0	2	5	0	1	5	8	0	3	5	9	11	12
1	1	2	14	1	0	2	5	0	1	5	8	0	3	5	9	11	12
	y-coordinates			12	12	10	5	3	3	4	2	0	1	1	1	1	0

Figure 3: The values of the different variables in ConvexDraw.

Notice that the drawing is not strictly convex, i.e., there are angles of size 180°.

### 3 Linear-time Implementation

The linear-time implementation is achieved by representing the sets Under(v) using a binary tree T. Furthermore, instead of computing absolute x-coordinates of vertices each time we add  $V_k$ , we will only maintain, for each v, its relative x-coordinate with respect to its father.

By T(v) we denote the subtree of T rooted at v. Each node v is a record containing the following information:

left(v): If v is in the contour then left(v) is the node u such that

 $T(u) = Under(v) - \{v\}.$ 

right(v): If v is in the contour, right(v) is the next node in the

contour.

If v is not in the contour then left(v) and right(v) are used as work pointers to maintain the correct relationship between T and the sets Under(u), and to minimize

pointer manipulations.

 $\Delta x(v) = x(v) - x(w)$ , x-offset of v from its T-father w

x(v) = x-coordinate of v

y(v) = y-coordinate of v

The root of T is  $v_1$ , and  $C_k = (w_1, \ldots, w_j)$  consists of:  $v_1$ ,  $right(v_1)$ ,  $right(right(v_1))$ , etc.  $Under(w_i)$  consists of  $w_i$  and its T-subtree rooted at  $left(w_i)$ . Thus we have the following relationship:  $T(w_i) = \bigcup_{a=i}^{j} Under(w_a)$ .

In general, if u, v are any two nodes, then let  $\Delta x(u, v) = x(v) - x(u)$ . In particular,  $\Delta x(v) = \Delta x(u, v)$  where u is the father of v. We want to emphasize that the algorithm will store only  $\Delta x(v)$  for each v; whenever the value of  $\Delta x(u, v)$  is needed, where  $v \neq left(u), right(u)$ , it has to be computed by finding the lowest common ancestor w of u, v, adding all offsets on the path from w to v and subtracting all offsets on the path from w to v.

In terms of our tree T, when we add  $V_k$ , we need to shift  $T(w_q)$  to the right. The crucial observation that leads to the linear-time algorithm is that it is not really necessary to know the exact positions of  $w_p$  and  $w_q$  at the time when we install  $V_k = (z_1, \ldots, z_\ell)$ . If we only know their y-coordinates and the offset  $\Delta x(w_p, w_q)$  then for each i > 1 we can compute  $y(z_i)$  and the x-offset of  $z_i$  relative to  $z_{i-1}$ , the x-offset of  $z_1$  relative to  $w_p$ , and the x-offset of  $w_q$  relative to  $z_\ell$ .

**Algorithm FastConvexDraw.** We will assume, for simplicity, that all links in T have been initialized to nil.

The algorithm consists of two phases. In the first phase we add new vertices, compute their x-offsets and y-coordinates. In the second phase, we traverse the tree and compute final x-

coordinates by accumulating offsets.

```
We begin by embedding V_m = (z_1, \dots, z_\ell):

for i := 1 to \ell - 1 do right(z_i) := z_{i+1};

\mathcal{E}(z_1) := (0,0); \ \mathcal{E}(z_\ell) := (\ell - 1,0);

for i := 1 to \ell - 1 do \mathcal{E}(z_i) := (i - 1,1);
```

Now, for each  $k=m-1, m-2, \ldots, 1$ , we proceed as follows. Let  $w_1, \ldots, w_j$  be the contour of  $G_{k+1}$ ; and let  $w_p, w_q$  be the leftmost and rightmost neighbors of  $V_k = (z_1, \ldots, z_\ell)$  in  $G_{k+1}$ . Then execute the following steps.

```
\alpha := \mu^+(p); \ \beta := \mu^-(q);
                                compute \Delta_i = \Delta x(w_p, w_i), for i = p + 1, \dots, q;
Precompute offsets:
Update node w_p:
                                if \alpha > p (and thus q > p+1) then begin
                                      right(w_{\alpha}) := left(w_{p});
                                      if left(w_p) \neq nil then \Delta x(left(w_p)) := \Delta x(left(w_p)) - \Delta_{\alpha};
                                      left(w_p) := right(w_p)
                                end;
                                right(w_p) := z_1;
Install V_k:
                                if w_p is saturated then \epsilon := 0 else \epsilon := 1;
                                \Delta x(z_1) := \epsilon;
                                y(z_1) := y(w_q) + \Delta_q - \ell + 1 - \epsilon;
                                for i := 2 to \ell do begin
                                      right(z_{i-1}) := z_i;
                                      \Delta x(z_i) := 1;
                                      y(z_i) := y(z_1)
                                end;
                                right(z_{\ell}) := w_{q};
                                if \alpha < \beta then begin
                                      left(z_1) := w_{\alpha+1};
                                      \Delta x(w_{\alpha+1}) := \Delta_{\alpha+1} - \epsilon;
                                      right(w_{\beta}) := nil;
                                end;
Update node w_q:
                                if \beta + 1 < q then begin
                                       right(w_{q-1}) := left(w_q);
                                       \Delta x(left(w_q)) := \Delta x(left(w_q)) + \Delta x(w_q);
                                       left(w_a) := w_{\beta+1};
                                       \Delta x(w_{\beta+1}) := \Delta_{\beta+1} - \Delta_q;
                                end;
                                \Delta x(w_q) := \Delta_q - \ell + 1 - \epsilon;
```

At this point all y-coordinates and x-offsets have already been computed. All that remains to be done is to compute x-coordinates. In order to do so, we invoke AccumulateOffsets( $v_1, 0$ ), where AccumulateOffsets is as follows:

```
procedure AccumulateOffsets(v: vertex, \delta: integer);
begin

if v \neq \text{nil then begin}

x(v) := \delta + \Delta x(v);

AccumulateOffsets(left(v), x(v));

AccumulateOffsets(right(v), x(v))

end

end
```

Correctness. In order to prove correctness, it is sufficient to show that FastConvexDraw is a correct implementation of ConvexDraw from the previous section.

That the sets Under(v) are represented correctly, as explained at the beginning of this section, follows by inspection of the pointer manipulations.

Since the x-coordinate of a vertex v equals to the sum of the offsets on the path from the root  $v_1$  to v, it is sufficient to show that all offsets  $\Delta x(v)$  are computed correctly. It is a matter of elementary algebra to verify that this is indeed true.

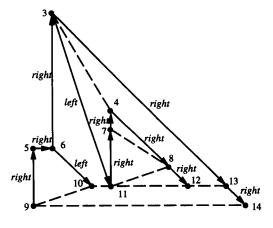
Complexity. As for its complexity, we have already mentioned that the canonical decomposition can be found in time O(n). In the first phase, when we add  $V_k = (z_1, \ldots, z_\ell)$ , the cost is proportional to  $\ell + q - p$ , where  $w_p$  and  $w_q$  denote, as usual, the leftmost and rightmost neighbors of  $V_k$  in  $C_{k+1}$ . Thus the total cost of the first phase is proportional to the number of edges, that is, O(n). The second phase can be trivially implemented to run in linear time.

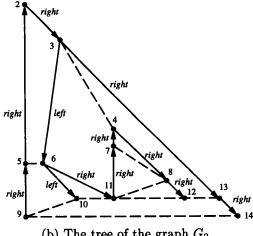
Improving the grid size. In order to improve the grid size, we apply the modification outlined in the previous section. Let us call the resulting algorithm FastConvexDraw2. This change doesn't affect the time complexity, and thus we get the following theorem.

**Theorem 2** Given a plane graph G, algorithm FastConvexDraw2 computes a convex embedding of G into the  $(n-2) \times (n-2)$  grid in O(n) time.

In Figure 4 the construction of the tree and the values of  $\Delta x(v)$  are given for the example from Figure 3.

Notice that FastConvexDraw also computes a spanning tree of a 3-connected planar graph with degree at most 3. This gives a new proof (and a linear-time algorithm) for a theorem of Barnette [1]. The general problem is NP-hard, i.e., given a graph, find a spanning tree with degree at most K ( $K \ge 2$ ) (problem ND1 in [7]).





(a) The tree of the graph  $G_3$ .

(b	The	tree	of	the	graph	$G_2$ .
----	-----	------	----	-----	-------	---------

	adding	$\Delta x(v)$													
step	vertices	1	2	3	4	5	6	7	8	9	10	11	12	13	14
8	9,, 14									0	1	1	1	1	1
7	8								1	0	1	1	1	1	1
6	7							0	2	0	1	1	1	1	1
5	5, 6					0	1	0	2	0	2	1	1	1	1
4	4				0	0	1	0	3	0	2	1	1	1	1
3	3			0	0	0	1	0	3	0	2	3	1	2	1
2	2		0	2	0	0	-1	0	3	0	2	4	1	9	1

Figure 4: The tree T and  $\Delta x(v)$ .

Our algorithm can also be generalized, using the following theorem of Thomassen:

**Theorem 3** Let G be a plane graph with outer face S such that all vertices not in S have degree  $\geq$  3. Then G has a convex representation with outerface S if and only if G is internally 3connected.

If G satisfies the assumptions in the above theorem and  $S = (u_1, \ldots, u_j)$ , then adding a vertex  $z_0$  with edges to  $u_1, \ldots, u_j$  gives a triconnected graph  $G^*$ . By applying the algorithm FastConvexDraw to  $G^*$ , and not adding  $z_0$  in the last phase, we obtain a straight-line and internally convex drawing for G. This yields the following theorem:

**Theorem 4** If a plane graph G with degree  $\geq 3$  is convex drawable, then FastConvexDraw, modified as above, constructs in linear time an internally convex drawing of G into a  $(n-1) \times (n-2)$ grid.

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