

Using Closed Sets of Rules for the Entailment of Literals

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Abstract

Entailment that is based on the application of simple production rules, of the form $c \leftarrow a_1, \dots, a_n$ ($n \geq 0$) is weaker than the propositional entailment that would be yielded by translating these rules into material implications. In this paper, we show that rule-based entailment coincides with the propositional entailment of literals, when the set of rules is closed under transposition, transitivity and antecedent cleanup.

1 Introduction

In the early days of logic programming, rules were often seen as some kind of computationally friendly material implications. It is a well-known fact that, without weak and strong negation, the set of atoms entailed by a set of rules coincides with the set of atoms entailed by the associated set of material implications.

Definition 1. We say that \mathcal{W} is an implication based set of formulas iff each formula in \mathcal{W} is either a literal or a material implication of the form $c \subset a_1 \wedge \dots \wedge a_n$ ($n \geq 1$) where c, a_1, \dots, a_n are literals. If \mathcal{W} is implication based, then we define $\text{Imps2Rules}(\mathcal{W})$ as $\{c \leftarrow a_1, \dots, a_n \mid c \subset a_1 \wedge \dots \wedge a_n \in \mathcal{W}\} \cup \{c \leftarrow \mid c \in \mathcal{W}\}$.

Definition 2. We say that \mathcal{W} is a negation-free implication based set of formulas iff each formula in \mathcal{W} is either an atomic proposition or a material implication of the form $c \subset a_1 \wedge \dots \wedge a_n$ where c, a_1, \dots, a_n are atomic propositions.

If r is a rule of the form $c \leftarrow a_1, \dots, a_n$ ($n \geq 0$) then we define $\text{head}(r)$ as c and $\text{body}(r)$ as $\{a_1, \dots, a_n\}$. If S is a set of rules, we write $\text{Cl}(S)$ as the smallest set of literals that satisfies $\forall r \in S : (\text{body}(r) \subseteq \text{Cl}(S) \supset \text{head}(r) \in \text{Cl}(S))$.

Proposition 1. Let \mathcal{W} be a negation-free implication based set of formulas. Let b be an atomic proposition. It holds that $\mathcal{W} \models b$ iff $b \in \text{Cl}(\text{Imps2Rules}(\mathcal{W}))$.

When strong negation is added, this one-to-one correspondence no longer holds. The kind of entailment as specified by a logic program with strong negation differs greatly from the classical propositional entailment that would be yielded when the rules were interpreted as material implications.

Example 1 (transposition needed). Let $\mathcal{W} = \{a; \neg a \subset \neg b\}$. Here $\mathcal{W} \models b$ but $b \notin \text{Cl}(\text{Imps2Rules}(\mathcal{W}))$.

Example 2 (antecedent cleaning on transitivity needed). Let $\mathcal{W} = \{b \subset a; \neg a \subset b\}$. Here $\mathcal{W} \models \neg a$ but $\neg a \notin \text{Cl}(\text{Imps2Rules}(\mathcal{W}))$.

Example 3 (transposition on transitivity needed). Let $\mathcal{W} = \{c \subset a \wedge b; d \subset c \wedge a; b; \neg d\}$. Here, $\mathcal{W} \models \neg a$ but $\neg a \notin Cl(\text{Imps2Rules}(\mathcal{W}))$.

As rules with strong negation cannot be regarded as simply modelling the propositional entailment of the associated material implications, some alternative view is needed. One possibility would be to regard the rules as domain dependent derivation rules. Thus, a rule is no longer seen as something at the object level (like a material implication) but as a meta-level principle of entailment (like for instance modus ponens). With every rule corresponding to a domain dependent derivation rule, a logic program in fact boils down to a particular, domain dependent, form of logical entailment.

In the rest of this report, we specify three closure operators on a set of rules that collectively restore the one to one correspondence between rule based derivation and the propositional entailment of literals.

2 Rule Based Derivation as Propositional Entailment

Definition 3 (transposition, transitivity, antecedent cleaning).

Let s_1 and s_2 be rules. We say that s_2 is a transpositive version of s_1 iff:

$$s_1 = c \leftarrow a_1, \dots, a_n \text{ and} \\ s_2 = \neg a_i \leftarrow a_1, \dots, a_{i-1}, \neg c, a_{i+1}, \dots, a_n \text{ for some } 1 \leq i \leq n.$$

Let s_1, s_2 and s_3 be strict rules. We say that s_3 is a transitive version of s_1 and s_2 iff:

$$s_1 = c \leftarrow a_1, \dots, a_n, \\ s_2 = a_i \leftarrow b_1, \dots, b_m \text{ for some } 1 \leq i \leq n, \text{ and} \\ s_3 = c \leftarrow a_1, \dots, a_{i-1}, b_1, \dots, b_m, a_{i+1}, \dots, a_n.$$

Let s_1 and s_2 be strict rules. We say that s_2 is an antecedent cleaned version of s_1 iff:

$$s_1 = \neg a_i \leftarrow a_1, \dots, a_i, \dots, a_n \text{ and} \\ s_2 = \neg a_i \leftarrow a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_n.$$

The intuition behind transposition can be illustrated by translating a rule $c \leftarrow a_1, \dots, a_n$ to a material implication $c \subset a_1 \wedge \dots \wedge a_n$. This implication is rewritten as a disjunction $c \vee \neg(a_1 \wedge \dots \wedge a_n)$, which in its turn can be written as a disjunction $c \vee \neg a_1 \vee \dots \vee \neg a_n$. In this disjunction, different disjuncts can be put in front. Putting for instance a_i in front yields $\neg a_i \vee \neg a_1 \vee \dots \vee \neg a_{i-1} \vee c \vee \neg a_{i+1} \vee \dots \vee \neg a_n$, which is again equivalent to $\neg a_i \vee \neg(a_1 \wedge \dots \wedge a_{i-1} \wedge \neg c \wedge a_{i+1} \wedge \dots \wedge a_n)$, and $\neg a_i \subset a_1 \wedge \dots \wedge a_{i-1} \wedge \neg c \wedge a_{i+1} \wedge \dots \wedge a_n$. This can then be translated to the rule $\neg a_i \leftarrow a_1, \dots, a_{i-1}, \neg c, a_{i+1}, \dots, a_n$. Notice that, when $n = 1$, transposition coincides with classical contraposition. Transitivity, as used in Definition 3, basically boils down to the substitution of a literal in the body of a rule with the body of another rule that has this literal as its head. The meaning of antecedent cleaning is also straightforward. Translate a rule $\neg a_i \leftarrow a_1, \dots, a_i, \dots, a_n$ to a material implication $\neg a_i \subset a_1 \wedge \dots \wedge a_i \wedge \dots \wedge a_n$, which is then equivalent to the disjunction $\neg a_i \vee \neg a_1 \vee \dots \vee \neg a_i \vee \dots \vee \neg a_n$. In this formula, the double occurrence of $\neg a_i$ can be eliminated, yielding $\neg a_i \vee \neg a_1 \vee \dots \vee \neg a_{i-1} \vee \neg a_{i+1} \vee \dots \vee \neg a_n$, which is equivalent to $\neg a_i \subset a_1 \wedge \dots \wedge a_{i-1} \wedge a_{i+1} \wedge \dots \wedge a_n$. This is then translated to the rule $\neg a_i \leftarrow a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_n$.

Definition 4 (closed). Let S be a set of rules. Then,

- (i) S is closed under transposition iff for each rule s_1 in S , a rule s_2 is in S if s_2 is a transpositive version of s_1 .
- (ii) S is closed under transitivity iff for each rule s_1 and s_2 in S , a rule s_3 is in S if s_3 is a transitive version of s_1 and s_2 .
- (iii) S is closed under antecedent cleaning iff for each rule s_1 in S , a rule s_2 is in S if s_2 is an antecedent cleaned version of s_1 .

Definition 5 ($\mathcal{P}_{\mathcal{W}}$). Let \mathcal{W} be an implication-based set of rules. We define $\mathcal{P}_{\mathcal{W}}$ as the smallest set that includes $\text{Imps2Rules}(\mathcal{W})$ and is closed under transposition, transitivity and antecedent cleaning.

In the rest of this section it will be proved that a literal follows from \mathcal{W} iff it is in $Cl(\mathcal{P}_{\mathcal{W}})$. As the proof of our main theorem is based on resolution theory, we first state a few preliminaries. Recall that any arbitrary set of propositional formulas can be converted to disjunctive normal form (notation: $DNF(\phi)$), which in its turn can be represented as a set of clauses.

Definition 6.

- A clause is a set of literals. The empty clause is denoted as \square .
- Let C_1 and C_2 be clauses, such that for some literal l : $l \in C_1$ and $\neg l \in C_2$. Then $(C_1 - \{l\}) \cup (C_2 - \{\neg l\})$ is called the resolvent of C_1 and C_2 on l . The fact that C_3 is a resolvent of C_1 and C_2 on l is denoted as $C_1, C_2 \rightsquigarrow_l C_3$.
- A resolution-tree RT from a set of clauses $\{C_1, \dots, C_n\}$ to a clause C is a binary tree of clauses such that:
 1. the root of RT is C
 2. each leaf of RT is a clause from $\{C_1, \dots, C_n\}$
 3. each non-leaf node is a resolvent of its children.

In the following theorem and beyond, we write $\text{Lits2Clauses}(L)$ as an abbreviation for $\{\{l\} \mid l \in L\}$. We also use $\neg L$ as an abbreviation for $\{\neg l \mid l \in L\}$.

Theorem 1 ([3]). Let $\{C_1, \dots, C_n\}$ be a set of clauses and ϕ be a formula. It holds that $C_1, \dots, C_n \models \phi$ iff there exists a resolution-tree from $\{C_1, \dots, C_n\} \cup DNF(\neg\phi)$ to \square .

Lemma 1. Let $\{C_1, \dots, C_n\}$ be a set of clauses and let L be a set of literals. Let RT be a resolution-tree from $\{C_1, \dots, C_n\} \cup \text{Lits2Clauses}(L)$ to \square . There also exists a resolution-tree RT' from $\{C_1, \dots, C_n\} \cup \text{Lits2Clauses}(L)$ to \square in which for every resolution-step of C' and C'' on $l \in L$ it holds that either $C' = l$ or $C'' = l$.

Sketch of Proof. The idea is that by substituting one of the inputs of a resolution-step by the literal that is actually used by this resolution step, one obtains a resolvent which is a subset of the original resolvent (this means that we can prune a part of the remaining resolution-tree but still get the empty clause as root). The idea is to keep doing this until one obtains a resolution-tree that satisfies the lemma. \square

An example of the application of Lemma 1 would be the following. Let $C = \{\{a\}, \{\neg c\}, \{\neg a, c\}\}$ and $L = \{c\}$. There exists a resolution-tree RT in which $\{\neg a, c\}$ is resolved with $\{\neg c\}$ to $\{\neg a\}$ and $\{\neg a\}$ is resolved with $\{a\}$ to \square . Lemma 1 then tells that there also exists a resolution-tree RT' in which for every resolution step on c it holds that $C' = c$ or $C'' = c$. In this case RT' simply resolves $\{\neg c\}$ and $\{c\}$ to \square .

Theorem 2. *Let $\{C_1, \dots, C_n\}$ be a consistent set of clauses and L be a minimal and consistent set of literals such that $\{C_1, \dots, C_n\} \cup \text{Lits2Clauses}(L) \models \perp$. There exists a resolution-tree from $\{C_1, \dots, C_n\}$ to $\neg L$.*

Proof. The fact that $\{C_1, \dots, C_n\} \cup \text{Lits2Clauses}(L) \models \perp$ means that there exists a resolution-tree RT from $\{C_1, \dots, C_n\} \cup \text{Lits2Clauses}(L)$ to \square . Then, according to Lemma 1, there exists a resolution-tree RT' from $\{C_1, \dots, C_n\} \cup \text{Lits2Clauses}(L)$ to \square in which every resolution-step on $l \in L$ involves at least one element of L . The fact that L is a *minimal* set such that $\{C_1, \dots, C_n\} \cup \text{Lits2Clauses}(L) \models \perp$ means that each $l_i \in L$ must occur as a leaf in RT' .

Now, for an arbitrary $l \in L$ do the following. Convert the resolution-tree RT' to a resolution-tree RT'' by cutting out all occurrences of resolution on l . In figure 1, we it is shown how this is done in the case where resolution on l is the last step as well as in the case where it is not the last step.

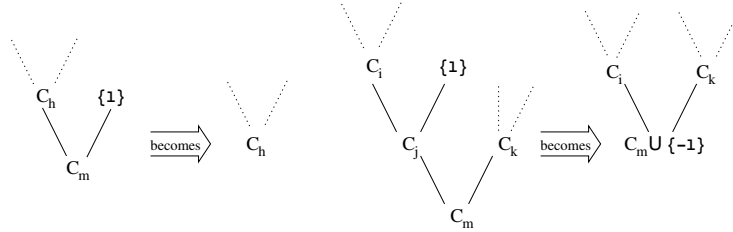


Figure 1: Removing L from a resolution tree.

Furthermore, $\neg l$ is added to every clause on the path from C_m to the root of the resolution-tree. The idea is that one keeps carrying out this procedure until all resolution-steps on l are dealt with. The resulting resolution-tree RT'' goes from $\{C_1, \dots, C_n\} \cup \text{Lits2Clauses}(L - \{l\})$ to $\{l\}$. Repeat this procedure for *every* $l \in L$. The final result will be a resolution tree RT''' from $\{C_1, \dots, C_n\}$ to $\neg L$. \square

We now introduce two operators to typecast a rule or set of rules into a clause or set of clauses.

Definition 7. *Let r be a rule of the form $c \leftarrow a_1, \dots, a_n$. We define $\text{Rule2Clause}(r)$ as $\{c, \neg a_1, \dots, \neg a_n\}$. Let S be a set of rules. We define $\text{Rules2Clauses}(S)$ as $\{\text{Rule2Clause}(r) \mid r \in S\}$.*

Theorem 3. *Let RT be a resolution-tree from C_1, \dots, C_n to C_0 (with $C_0 \neq \square$) and let S be a set of rules, closed under transposition, transitivity and antecedent cleaning with $\{C_1, \dots, C_n\} \subseteq \text{Rules2Clauses}(S)$. Then, for every clause C in RT there exists a rule $r \in S$ such that $\text{Rule2Clause}(r) = C$.*

Proof. We prove this by induction on the depth of subtree RT' of RT .

basis Let the depth of RT' be one. Then the only clause in RT' is an element of $\{C_1, \dots, C_n\}$. As $\{C_1, \dots, C_n\} \subseteq \text{Rules2Clauses}(S)$, it follows that for every clause C in RT there exists a rule $r \in S$ such that $\text{Rule2Clause}(r) = C$.

step Suppose for every RT' that is a subtree of RT with a depth of at most n , it holds that every clause C in RT' , there exists a rule $r \in S$ such that $\text{Rule2Clause}(r) = C$. We will now prove that also for every resolution tree RT'' with a depth of $n + 1$, it holds that for every clause C in RT'' , there exists a rule $r \in S$ such that $\text{Rule2Clause}(r) = C$. Let C be a clause in RT'' . If C is not the root of RT'' then we can immediately apply the induction hypothesis, and have that there indeed exists a rule $r \in S$ such that $\text{Rule2Clause}(r) = C$. In the remainder of this proof, we will treat the case that C is the root of RT'' . As RT'' has a depth of at least 2, C is the resolvent of two other clauses (children), say C_1 and C_2 , which are themselves the roots of resolution trees RT_1 and RT_2 . Now, the trees RT_1 and RT_2 each have a depth of at most n so the induction hypothesis tells us that there exists a rule $r_1 \in S$ such that $\text{Rule2Clause}(r_1) = C_1$ and there exists a rule $r_2 \in S$ such that $\text{Rule2Clause}(r_2) = C_2$. As S is closed under antecedent-cleaning, there also exist two rules r_1' and r_2' of which the negation of their head heads is not in their respective bodies (they are antecedent cleaned). Let us assume that C_1 and C_2 are resolved to C by resolution on some literal q . Then, the fact that S is closed under transposition means that S contains two rules r_1'' and r_2'' of the form $t_1 \leftarrow t_2, \dots, t_n, q$ and $q \leftarrow s_1, \dots, s_m$. As S is closed under transitivity, this also means that S contains a rule $t_1 \leftarrow t_2, \dots, t_n, s_1, \dots, s_m$. It is this rule (r''') for which $\text{Rule2Clause}(r''') = C$.

□

Theorem 4. *Let S be a set of rules that is closed under transposition, transitivity and antecedent-cleanup, and let L be a consistent set of literals such that $\text{Rules2Clauses}(S) \cup \text{Lits2Clauses}(L) \not\models \perp$. Let p be a literal. It holds that $\text{Rules2Clauses}(S) \cup \text{Lits2Clauses}(L) \models p$ iff S contains a rule $p \leftarrow l_1, \dots, l_n$ with $l_1, \dots, l_n \in L$.*

Proof.

“ \implies ”: Suppose $\text{Rules2Clauses}(S) \cup \text{Lits2Clauses}(L) \models p$. Then, it also holds that $\text{Rules2Clauses}(S) \cup \text{Lits2Clauses}(L) \cup \{\{\neg p\}\} \models \perp$. Let $L' = \{l_1, \dots, l_n\}$ be a minimal subset of L such that $\text{Rules2Clauses}(S) \cup \text{Lits2Clauses}(L') \cup \{\{\neg p\}\} \models \perp$. Then, $L' \cup \{\neg p\}$ is also a minimal set of literals such that $\text{Rules2Clauses}(S) \cup \text{Lits2Clauses}(L' \cup \{\neg p\}) \models \perp$ (this is because $\text{Rules2Clauses}(S) \cup \text{Lits2Clauses}(L) \not\models \perp$, so $\neg p$ is actually needed to entail \perp). Then, according to theorem 2, there exists a resolution-tree RT from $\text{Rules2Clauses}(S)$ to $\neg(L' \cup \{\neg p\}) = \{\neg l_1, \dots, \neg l_n, p\}$. Theorem 3 then tells us that there exists a rule $r \in S$ such that $\text{Rule2Clause}(r) = \{\neg l_1, \dots, \neg l_n, p\}$. As S is closed under antecedent cleaning and transposition, this also means that there exists a rule in S of the form $p \leftarrow l_1, \dots, l_n$.

“ \impliedby ”: Suppose S contains a rule $p \leftarrow l_1, \dots, l_n$ with $l_1, \dots, l_n \in L$. Then, $\text{Rules2Clauses}(S) \cup \text{Lits2Clauses}(L) \models p$ (this follows from the correctness of resolution). □

Theorem 5. *Let \mathcal{W} be an implication based set of formulas and let l_1, \dots, l_n, k be literals such that $\mathcal{W} \cup \{l_1, \dots, l_n\}$ is consistent. It holds that $\mathcal{W} \cup \{l_1, \dots, l_n\} \models k$ iff $k \in \text{Cl}(\mathcal{P}_{\mathcal{W}} \cup \{l_1 \leftarrow \dots, l_n \leftarrow\})$.*

Proof.

“ \implies ”:

Suppose that $\mathcal{W} \cup \{l_1, \dots, l_n\} \models k$. From the fact that $\mathcal{W} \cup \{l_1, \dots, l_n\}$ is consistent it follows that $\text{Rules2Clauses}(\mathcal{P}_{\mathcal{W}}) \cup \text{Lits2Clauses}(\{l_1, \dots, l_n\}) \not\models \perp$. From the fact that $\mathcal{W} \cup \{l_1, \dots, l_n\} \models k$ it follows that $\text{Rules2Clauses}(\mathcal{P}_{\mathcal{W}}) \cup \text{Lits2Clauses}(\{l_1, \dots, l_n\}) \models k$. From Theorem 4 it then follows that $\mathcal{P}_{\mathcal{W}}$ contains a rule $k \leftarrow l_1, \dots, l_i$ ($0 \leq i \leq n$). Therefore, it holds that $k \in Cl(\mathcal{P}_{\mathcal{W}} \cup \{l_1 \leftarrow, \dots, l_n \leftarrow\})$.

“ \impliedby ”:

Suppose that $k \in Cl(\mathcal{P}_{\mathcal{W}} \cup \{l_1 \leftarrow, \dots, l_n \leftarrow\})$. Then, as for every rule $c \leftarrow a_1, \dots, a_m$ in $\mathcal{P}_{\mathcal{W}}$ it holds that $\mathcal{W} \models c \subset a_1, \dots, a_m$, it also holds that $\mathcal{W} \cup \{l_1, \dots, l_n\} \models k$. \square

3 Discussion

When ELP rules are seen as a domain dependent specification of entailment rules, the question then becomes under which conditions this entailment satisfies any reasonable conditions. The current approach seems to be to require no conditions at all on the specific entailment as specified by the ELP in question. However, this allows one to specify quite weird forms of entailment, and it should not come as a surprise that the results can then be quite unusual as well, especially when applied in a broader context. Examples of this are provided in [1] and [2]. The question of what restrictions have to be specified on an ELP in order to obtain a specific property of the outcome is a relevant topic that deserves further study.

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